

**Your claim must be
postmarked by:
NOVEMBER 7, 2019**

*Liquid Aluminum Sulfate Antitrust Litigation –
USALCO Direct Purchaser Settlements*
c/o Settlement Administrator
P.O. Box 30097
Philadelphia, PA 19103
1-855-338-6128
www.liquidaluminumdirectsettlement.com

UAL

CLAIM FORM FOR SETTLEMENTS WITH USALCO, SII AND AMERICAN SECURITIES

IMPORTANT: PLEASE READ BEFORE COMPLETING THIS CLAIM FORM

To be eligible for a payment from these Settlements, you must be a Direct Purchaser Settlement Class Member, *i.e.*, you must have purchased liquid aluminum sulfate (“Alum”) in the United States directly from a Defendant from January 1, 1997 through February 28, 2011.

This Claim Form must be completed and returned by Direct Purchaser Settlement Class Members who seek to receive a payment from partial settlements (“Settlements”) in the action captioned *In Re: Liquid Aluminum Sulfate Antitrust Litigation*, Civil Action No. 16-md-2687 (JLL) (JAD) (“Action”).

THIS CLAIM FORM MUST BE COMPLETED AND MAILED BY PREPAID, FIRST-CLASS MAIL TO THE ABOVE ADDRESS, OR SUBMITTED ONLINE AT WWW.LIQUIDALUMINUMDIRECTSETTLEMENT.COM, **POSTMARKED OR RECEIVED NO LATER THAN NOVEMBER 7, 2019** IN ORDER TO BE ELIGIBLE TO RECEIVE A SHARE OF THE NET SETTLEMENT FUND IN CONNECTION WITH THE SETTLEMENTS. **IF YOU PREVIOUSLY SUBMITTED A CLAIM FORM WITH RESPECT TO THE SETTLEMENT WITH GEO, KEMIRA OR CHEMTRADE, IT IS NOT NECESSARY TO FILE AN ADDITIONAL CLAIM FORM TO PARTICIPATE IN THE SETTLEMENTS WITH USALCO, SII AND AMERICAN SECURITIES.**

FAILURE TO SUBMIT YOUR CLAIM FORM BY THE DATE SPECIFIED ABOVE WILL SUBJECT YOUR CLAIM TO REJECTION AND MAY PRECLUDE YOU FROM BEING ELIGIBLE TO RECEIVE ANY MONEY IN CONNECTION WITH THE SETTLEMENTS.

DO NOT MAIL OR DELIVER YOUR CLAIM FORM TO THE COURT, THE PARTIES, OR THEIR COUNSEL. SUBMIT YOUR CLAIM FORM ONLY TO THE SETTLEMENT ADMINISTRATOR AT THE ADDRESS SET FORTH ABOVE.

If you have questions regarding your Claim Form, please call 1-855-338-6128 or email info@liquidaluminumUSALCOsettlement.com. Members of the Direct Purchaser Settlement Class who do not timely and validly seek exclusion from the Direct Purchaser Settlement Class in accordance with the instructions set forth in the Notice will be bound by the judgment entered approving these Settlements as to USALCO and SII regardless of whether they submit a Claim Form.

<u>TABLE OF CONTENTS</u>	<u>PAGE #</u>
PART I – GENERAL INSTRUCTIONS	2
PART II – CLAIMANT INFORMATION	3
PART III – SCHEDULE OF QUALIFYING ALUM PURCHASES	4
PART IV – SUBMISSION TO JURISDICTION OF THE DISTRICT COURT	5
PART V – CERTIFICATION	5
REMINDER CHECKLIST	6

**Pages 3, 4 and 5 of this Claim Form must be completed and returned to the Settlement Administrator*

PART I – GENERAL INSTRUCTIONS

1. It is important that you completely read and understand the Notice that accompanies this Claim Form. The Notice describes the proposed Settlements with USALCO, with SII and with American Securities, how Direct Purchaser Settlement Class Members are affected by the Settlements, and the manner in which the Net Settlement Fund will be distributed if the Settlements are approved by the Court. The Notice also contains the definitions of many of the defined terms (which are indicated by initial capital letters) used in this Claim Form. By signing and submitting this Claim Form, you will be certifying that you have read and that you understand the Notice, including the terms of the releases described therein and provided for herein.

2. **To be eligible for a payment from the Settlements, you must be a Direct Purchaser Settlement Class Member. The Direct Purchaser Settlement Class is defined as all persons or entities that purchased Alum in the United States directly from a Defendant from January 1, 1997 through February 28, 2011.**¹ Excluded from the Direct Purchaser Settlement Class are (1) Defendants and their respective parents, subsidiaries, and affiliates, and (2) any Direct Purchasers who timely and validly elect to be excluded from the Direct Purchaser Settlement Class.

3. **Important - Please Note:** This Claim Form does not pertain to the settlements involving the indirect purchasers. **DO NOT SUBMIT THIS CLAIM FORM IF YOU ARE AN INDIRECT PURCHASER.**

4. By submitting this Claim Form, you will be making a request to share in the proceeds of the Settlements described in the Notice. **IF YOU ARE NOT A DIRECT PURCHASER SETTLEMENT CLASS MEMBER** (*see* definition of Direct Purchaser Settlement Class on page 5 of the Notice), **OR IF YOU SUBMITTED A REQUEST FOR EXCLUSION FROM THE DIRECT PURCHASER SETTLEMENT CLASS, DO NOT SUBMIT A CLAIM FORM. YOU MAY NOT PARTICIPATE IN THE SETTLEMENTS IF YOU ARE NOT A DIRECT PURCHASER SETTLEMENT CLASS MEMBER. THUS, IF YOU ARE EXCLUDED FROM THE DIRECT PURCHASER SETTLEMENT CLASS, ANY CLAIM FORM THAT YOU SUBMIT, OR THAT MAY BE SUBMITTED ON YOUR BEHALF, WILL NOT BE ACCEPTED.**

5. By submitting a signed Claim Form, you will be swearing to the truth of the statements contained therein and the genuineness of the documents attached thereto, subject to penalties of perjury under the laws of the United States of America. The making of false statements, or the submission of forged or fraudulent documentation, will result in the rejection of your claim and may subject you to civil liability or criminal prosecution.

6. Please be aware that there are specialized companies that may offer to fill out and file your Claim Form in return for a percentage of the value of your claim. Before you sign a contract with one of these companies, you should examine the claim-filing process provided here and decide whether using a specialized company is worth the cost. You can always seek help free of charge from the Settlement Administrator or Interim DPP Lead Counsel.

7. If the Court approves the Settlements, payments to eligible Direct Purchaser Settlement Class Members will be made after the Court grants final approval to the Settlements, after all appeals are resolved, and after the completion of all claims processing. This process could take substantial time. Please be patient.

8. If you have questions concerning the Claim Form, or need additional copies of the Claim Form or the Notice, you may contact the Settlement Administrator, at the above address or by toll-free phone at 1-855-338-6128, or you may download the documents from the website www.liquidaluminumdirectsettlement.com. You may also contact Interim DPP Lead Counsel, James E. Cecchi, Esq., Carella, Byrne, Cecchi, Olstein, Brody & Agnello, P.C., 5 Becker Farm Road, Roseland, NJ 07068; 973-994-1700.

¹ Defendants are General Chemical Corporation, General Chemical Performance Products, LLC, General Chemical LLC, GenTek Inc., Chemtrade Logistics Income Fund, Chemtrade Logistics Inc., Chemtrade Chemicals Corporation, Chemtrade Chemicals US, LLC, Chemtrade Solutions, LLC, C&S Chemicals, Inc., GEO Specialty Chemicals, Inc., USALCO, LLC, Kemira Chemicals, Inc., Southern Ionics, Incorporated, American Securities, Inc., Frank A. Reichl, Brian C. Steppig, Vincent J. Opalewski, Alex Avraamides, Amita Gupta, and Kenneth A. Ghazey.

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PART II – CLAIMANT INFORMATION

The Settlement Administrator will use this information for all communications regarding this Claim Form. If this information changes, you **MUST** notify the Settlement Administrator in writing at the address above.

Claimant Names(s) (as the name(s) should appear on check, if eligible for payment):

Company

Name of Person the Settlement Administrator Should Contact Regarding this Claim Form (Must Be Provided):

Mailing Address – Line 1: Street Address/P.O. Box:

Mailing Address – Line 2 (If Applicable): Apartment/Suite/Floor Number:

City:

State/Province:

Zip Code:

Country:

Daytime Telephone Number:

Evening Telephone Number:

Email address (E-mail address is not required, but if you provide it you authorize the Settlement Administrator to use it in providing you with information relevant to this claim.):

YOU MUST COMPLETE AND RETURN THIS PAGE OF THE CLAIM FORM

PART III – SCHEDULE OF QUALIFYING ALUM PURCHASES

In the chart below, please report separately each of your purchases of Alum in the United States directly from a Defendant from January 1, 1997 through February 28, 2011 (attach additional pages if necessary).² In order to qualify as a claim, your purchase(s) must have been billed to and/or shipped to a location in the United States. Foreign transactions where billing and shipping took place outside of the United States do not qualify. Please attach copies of your purchase order(s), invoice(s), or other documentation of your purchase(s). For each purchase of Alum listed below, please include the quantity of Alum purchased, the dollar amount (in U.S. dollars) of purchases made directly from a Defendant during the relevant time period, and the date of each purchase. Amounts should be rounded to the nearest dollar. (Example: \$12,345.67 should be entered as \$12,346.) Do not include transportation charges, rebates, refunds, credits, etc.

Date of Purchase	Quantity of Alum Purchased Directly from a Defendant	Dollar Amount (in U.S. dollars) of Alum Purchase (excluding any transportation charges, rebates, refunds, credits, etc.)	Proof of Purchase Enclosed
MM/DD/YYYY	EXAMPLE: <u>XX</u> TONS	\$12,345	<input type="checkbox"/>
__/__/____		\$ _____	<input type="checkbox"/>
__/__/____		\$ _____	<input type="checkbox"/>
__/__/____		\$ _____	<input type="checkbox"/>
__/__/____		\$ _____	<input type="checkbox"/>
__/__/____		\$ _____	<input type="checkbox"/>
__/__/____		\$ _____	<input type="checkbox"/>
__/__/____		\$ _____	<input type="checkbox"/>
__/__/____		\$ _____	<input type="checkbox"/>
__/__/____		\$ _____	<input type="checkbox"/>
__/__/____		\$ _____	<input type="checkbox"/>
__/__/____		\$ _____	<input type="checkbox"/>
__/__/____		\$ _____	<input type="checkbox"/>

The Net Settlement Fund will be allocated to eligible Direct Purchaser Settlement Class Members on a pro rata basis based on the total dollar value of each Direct Purchaser Settlement Class Member’s purchase(s) of Alum in the United States directly from a Defendant during the relevant time period to the total dollar value of all valid claims submitted.

² Defendants are General Chemical Corporation, General Chemical Performance Products, LLC, General Chemical LLC, GenTek Inc., Chemtrade Logistics Income Fund, Chemtrade Logistics Inc., Chemtrade Chemicals Corporation, Chemtrade Chemicals US, LLC, Chemtrade Solutions, LLC, C&S Chemicals, Inc., GEO Specialty Chemicals, Inc., USALCO, LLC, Kemira Chemicals, Inc., Southern Ionics, Incorporated, American Securities, Inc., Frank A. Reichl, Brian C. Steppig, Vincent J. Opalewski, Alex Avraamides, Amita Gupta, and Kenneth A. Ghazey.

YOU MUST COMPLETE AND RETURN THIS PAGE OF THE CLAIM FORM

PART IV – SUBMISSION TO JURISDICTION OF THE DISTRICT COURT

By signing below, you are verifying that:

1. You have provided genuine documentation to support your claim and agree to provide additional information to Interim DPP Lead Counsel or the Settlement Administrator if necessary;
2. You have not assigned or transferred (or purported to assign or transfer) or settled for the same purchases or submitted any other claim for the same purchases of Alum and have not authorized any other person or entity to do so, and know of no other person or entity having done so on your behalf;
3. The information provided in this Claim Form is accurate and complete; and
4. You agree to submit to the jurisdiction of the District Court for the District of New Jersey, where this Action is pending, for purposes of resolving any issues related to or arising from your claim.

PART V – CERTIFICATION

I (We) certify that I am (we are) NOT subject to backup withholding under the provisions of Section 3406 (a)(1)(c) of the Internal Revenue Code because: (a) I am (We are) exempt from backup withholding, or (b) I (We) have not been notified by the IRS that I am (we are) subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me (us) that I am (we are) no longer subject to backup withholding. **NOTE: If you have been notified by the IRS that you are subject to backup withholding, completely fill in this bubble:**

UNDER THE PENALTIES OF PERJURY, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HEREWITH ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.

Signature of claimant

Date

Print your name here

Company Name

Capacity of Person Signing

YOU MUST COMPLETE AND RETURN THIS PAGE OF THE CLAIM FORM

REMINDER CHECKLIST

1. Please sign the above certification.
2. Remember to attach only **copies** of acceptable supporting documentation as these documents will not be returned to you.
3. Please do not highlight any portion of the Claim Form or any supporting documents.
4. Keep copies of the completed Claim Form and documentation for your own records.
5. The Settlement Administrator will acknowledge receipt of your Claim Form by mail, within 60 days. Your claim is not deemed filed until you receive an acknowledgement postcard. **IF YOU DO NOT RECEIVE AN ACKNOWLEDGEMENT POSTCARD WITHIN 60 DAYS, PLEASE CALL THE SETTLEMENT ADMINISTRATOR TOLL FREE AT 1-855-338-6128.**
6. If your address changes in the future, or if this Claim Form was sent to an old or incorrect address, please send the Settlement Administrator written notification of your new address.
7. If you have any questions or concerns regarding your claim, please contact the Settlement Administrator at the above address or toll-free at 1-855-338-6128 or visit www.liquidaluminumdirectsettlement.com.

THIS CLAIM FORM MUST BE MAILED BY PREPAID, FIRST-CLASS MAIL, OR SUBMITTED ONLINE AT WWW.LIQUIDALUMINUMDIRECTSETTLEMENT.COM, **POSTMARKED OR RECEIVED NO LATER THAN NOVEMBER 7, 2019.**

A Claim Form received by the Settlement Administrator shall be deemed to have been submitted when posted, if a postmark date on or before November 7, 2019 is indicated on the envelope and it is mailed First Class, and addressed in accordance with the above instructions. In all other cases, a Claim Form shall be deemed to have been submitted when actually received by the Settlement Administrator.

It will take a significant amount of time to fully process all of the Claim Forms. Please be patient.